



Report of the Cabinet Member for Care, Health and Ageing Well

Cabinet – 20 December 2018

FPR7 Report – ICF Capital Scheme.

Purpose:	<p>To approve the ICF capital funding for Swansea Council and to add the scheme to the capital programme.</p> <p>To comply with Financial Procedure Rule 7 (Capital Programming and Appraisals) to commit and authorise schemes to the capital programme.</p>
Policy Framework:	<p>Social Services and Wellbeing (Wales) Act 2014 Financial Procedure Rule No. 7</p>
Consultation:	<p>Access to Services, Finance, Legal.</p>
Recommendation(s):	<p>It is recommended that:</p> <ol style="list-style-type: none">1) Swansea's element of the ICF capital grant bid submission to WG is approved
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1. Introduction

- 1.1. Welsh Government has provided Integrated Care Fund (ICF) to Local Authorities and the Health Board since 2014/2015 to build on effective working across health, social services and housing to improve the planning and provision of integrated services, with a focus on integrated working to help avoid unnecessary hospital admissions, or inappropriate admission to residential care, as well as preventing delayed discharges from hospital. This has included both capital and revenue funding.
- 1.2 Welsh Government have confirmed that the Capital ICF funding will be allocating funding for a 3 year ICF Capital Programme from 18/19 to 20/21. The amount of capital funding has been confirmed as follows for the Western Bay Region:

	18/19	19/20	20/21
Discretionary Funding (Smaller schemes under £100k)	£1.295m	£1.510m	£1.726m
Strategic Funding (Larger schemes over £100k)	£3.884m	£4.532m	£5.179m
Total Capital Funding	£5.179m	£6.042m	£6.905m

Please note the above funding currently includes Bridgend's allocation

- 1.3 The Welsh Government Capital ICF guidance can be accessed using the following link:

<https://gov.wales/topics/health/socialcare/working/icf/?lang=en>

- 1.4 The ICF guidance states:
RPBs must utilise the ICF to support schemes and activities that provide an effective ***integrated and collaborative*** approach in relation to the following RPB priority areas for integration:

- older people with complex needs and long term conditions, including dementia;
- people with learning disabilities;
- children with complex needs due to disability or illness; and
- carers, including young carers.

The aim of the Integrated Care Fund (ICF) is to drive and enable integrated working between social services, health and housing and the third and independent sectors.

- 1.5 The ICF capital programme is being deployed by way of a **Main Capital Programme** ("MCP") of a **minimum of 75%** of a regions allocation and a **Discretionary Capital Programme** ("DCP") of a **maximum of 25%** of a regions allocation. The **Discretionary Capital Programme** can be used for:

- aids and adaptations which are not supported by existing programmes and are in support of specific ICF objectives away from mainstream requirements (e.g. an enhanced Rapid Response need);
- equipment projects which support people to live independently in their own home and may reduce hospital admissions or speed up hospital discharge; and
- other smaller scale projects in support of ICF objectives (e.g. community or third sector led)

The **Main Capital Programme** can be used for:

- accommodation-led solutions to health and social care;
- integrated facilities (such as a regional “hub” approach to an ICF led service provision) – both re-modelling and new provision;
- capital projects which support new and innovative integration of health, social care and/or housing;
- larger scale equipment projects to support integration and ICF objectives;
- larger scale building re-modelling or adaptation (not supported by existing mainstream programmes); or
- expenditure to evidence or explore the feasibility of larger capital investment.

- 1.6 As in previous years, ABMU Health Board will be the recipient of the ICF capital funding. Arrangements secured by the Written Agreement should ensure the required governance arrangements are in place to support the flow of funding to or from the Local Health Board to other project partners as required. Addendum for the ICF Capital Funding to the original written agreement to be developed in conjunction with the finance and legal officers.
- 1.7 Welsh Government scrutiny panel will make recommendations to Welsh Ministers for their approval of the schemes submitted. Once project approval has been secured a grant offer letter will be issued with the terms and conditions of the grant. Welsh Government approved the schemes submitted end of October 2018. Given not all schemes were approved, a second tranche of schemes were submitted which are currently awaiting approval.

2. Progress to Date

2.1. Discretionary Capital Schemes:

- 2.1.1 Expressions of interest were invited from all Western Bay partners and the applications were reviewed in a multi-agency panel and the recommendations for the discretionary schemes have been approved by Programme Team members and formal approval in line with the ICF guidance. The Discretionary Capital Programme (DCP) is included in **appendix 1**, with the list of Swansea Council and third sector schemes for Swansea on a separate list. The total funding for the Swansea Council DCP schemes is £250,337.

2.2 Main (Strategic) Capital Programme (MCP):

- 2.2.1 Expressions of interest were requested from all key partners for one year only given the process was started before the ICF capital allocations and guidance was received. The WG guidance was received in late June and it confirmed that the capital funding would be over 3 years.
- 2.2.2 The MCP Applications were agreed in principle by a multi-agency panel however Project Leads were asked to review their applications in line with the new WG application forms and consider of funding was required for more than one financial year.
- 2.2.2 The revised MCP applications were approved by Programme Team representatives on 22nd August. Formal approvals in line with the guidance are being sought and the MCP was submitted to WG on 31st August. Please refer to **appendix 2** for the MCP capital programme.
- 2.2.3 The majority of schemes are to be delivered in 2018/19, though there are 3 schemes which span more than one financial year. One of these is a Swansea scheme for relocation of the North Hub, which is over a 2 year period. Further applications for future years are not going to be requested until the Western Bay review is completed and future strategic priorities are developed and agreed.

3. General Issues

- 3.1. Prior to 2016-17 ICF funding primarily supported initiatives in relation to supporting older people to maintain their independence and remain at home, avoiding unnecessary hospital admissions and delayed discharges. The scope of the fund was subsequently extended to look at the development of integrated care and support services for other groups of people, including people with learning disabilities, children with complex needs and carers. A detailed guidance document has been provided by Welsh Government which lays out the parameters of the funding. Proposals submitted have followed these guidelines.
- 3.2. The DCP was submitted to Welsh Government on 23rd July. The MCP was submitted to WG on 31st August in line with the timescales in the guidance. Approval from WG was expected to be end of September 2018, but was received end of October.
- 3.3. Although the guidance includes indicative allocations for the years 2018-19, 2019-20 and 2020-21 in order to enable regions to plan and programme manage ICF capital on a strategic basis; the 2018/19 funding must be used, i.e. expenditure incurred during the 2018/19 financial year.
- 3.4. Project Leads will provide a Red Amber Green ('RAG') status for actual expenditure and outcomes in the ICF quarterly monitoring report, which reflect progress being made. Any schemes which report a red or amber status will be subject to more frequent reporting (e.g. monthly) until the

RAG status improves and will be escalated to the relevant Western Bay Board and the Programme Office.

4. Equality and Engagement Implications

- 4.1 The Council is subject to the Public Sector Equality Duty (Wales) and must, in the exercise of their functions, have due regard to the need to:
- Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the Act.
 - Advance equality of opportunity between people who share a protected characteristic and those who do not.
 - Foster good relations between people who share a protected characteristic and those who do not.
- 4.2 Our Equality Impact Assessment process ensures that we have paid due regard to the above.

5. Financial Implications

- 5.1. A number of the proposals have the potential to realise significant ongoing revenue savings for the Council.
- 5.2. These schemes are 100% grant funded and do not require match funding.
- 5.3. Grant claims will be administered by ABMU
- 5.4. Summary of the ICF Capital schemes for Swansea Council is as follows:

	2018/19	2019/20	Total
DCP schemes (under £100k)	£250,337		£250,337
MCP schemes (over £100k)	£836,775	£638,404	£1,475,179
Total	£1,087,112	£638,404	£1,725,516

The financial appendix table is included in **appendix 3**. Please note there is £292,523 allocated to Third Sector schemes within the Swansea locality.

- 5.5 The capital schemes will all achieve outcomes for service users and benefits for the organisation, details of which are included in the individual applications.
- 5.6 Saving of £182k per annum from the Nant Y Felin scheme are estimated, though only an element of the ICF contributes towards those savings as

the purchase of the home was funded elsewhere but it is a part of the overall scheme.

- 5.7 Project leads have confirmed that any revenue costs will be managed within existing service budgets

6. Legal Implications

- 6.1. From April 2016, there was a requirement under Part 9 of the Social Services and Well-being (Wales) Act for the establishment of a statutory Regional Partnership Board (RPB). A report requesting approval of the establishment of the RPB Board and its membership was approved by the 3 Local Authority Cabinets and the Health Board in July 2016. The Western Bay Regional Partnership Board leads on the planning and use of the funding, as well as ensuring delivery, to maximise outcomes for people and the effective and efficient use of resources.
- 6.2. WG ICF guidance states 'Regional partnership boards must put in place a Written Agreement to provide assurance that robust processes are in place to manage the effective delivery of ICF capital and revenue funds'. The written agreement has been completed and signed by the Chief Executive of ABMU and countersigned by the Finance Director and endorsed by Regional Partnership Board Chair. Addendum for the ICF Capital Funding to the original written agreement to be developed in conjunction with the finance and legal officers
- 6.3. The Authority has followed the terms and conditions laid out in the guidance.

7. IT/Systems Implications

- 7.1. There are IT/systems implications for the scheme 21C, getting people connected, though the ICT Department have confirmed they can support the installation process for the Wi-Fi given they are all Swansea sites.

8. Property Implications

- 8.1. Corporate Property have been consulted in respect of proposals affecting Council owned buildings.

Background Papers: None

Appendices:

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| Appendix 1 | Discretionary ICF Capital Programme for Western Bay |
| Appendix 2 | Main (Strategic) ICF Capital Programme for Western Bay |
| Appendix 3 | Financial Appendix Table |